

The Principle of Intentional Coherence

A Nilpotent Dual-Space Model for the Placebo Effect, Meaning, and Mind–Body Interaction

Revised and expanded edition — additional grounding literature and an epistemic-status section added
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Abstract

The placebo effect remains one of the most persistent anomalies in medicine. Although its existence is beyond dispute, its mechanism remains poorly understood. Conventional explanations invoke expectation, neurochemistry, conditioning, and predictive processing, yet these descriptions primarily identify intermediate biological pathways rather than explaining how subjective intention acquires physiological efficacy.

This paper proposes a broader theoretical framework based on three ideas: global nilpotency, dual-space projection, and recursive topological organization. Extending the work of Peter Rowlands, David Finkelstein, and Tony Smith, it is argued that consciousness is neither reducible to neurochemistry nor external to physics. Instead, consciousness occupies the interface between observable space and a complementary coherence domain (dual space). Within this framework, intention acts by modifying coherence constraints rather than directly transferring energy. Observable physiological changes are interpreted as local manifestations of a global topological reorganization.

The resulting framework offers a common conceptual mechanism for the placebo effect, nocebo responses, meditation, hypnosis, psychoneuroimmunology, and potentially other forms of meaning-dependent physiology. This revision strengthens the empirical anchoring of that framework: the established neuroscience of placebo and coherence measures is set out explicitly, and the speculative dual-space extension is marked clearly as a distinct, separately testable layer (see the new Section 11).

1. Introduction

Few phenomena challenge contemporary science more persistently than the placebo effect.

A sugar pill may reduce pain. Expectation may alter immune responses. Belief may accelerate recovery. Conversely, negative expectations (the nocebo effect) may produce measurable pathology.

These observations are experimentally established. The difficulty lies not in their existence but in their explanation.

Modern neuroscience typically describes a sequence: expectation, neural activity, neurotransmitters, hormonal regulation, immune modulation, clinical outcome.

This sequence is undoubtedly correct. Yet it leaves one question unanswered: how does subjective meaning become objectively causal?

Meaning possesses neither mass nor charge. It cannot directly interact through any known force. Nevertheless, it consistently influences physiology.

This paper argues that the missing element may be a second projection of physical reality.

2. The Limitation of Physical Reductionism

Reductionism assumes that causality originates exclusively from elementary physical interactions. Every biological event is therefore expected to arise from molecular dynamics. Consciousness becomes an emergent by-product. Meaning becomes secondary. Intentions possess no independent physical significance.

This framework has achieved enormous success. However, phenomena involving expectation repeatedly challenge its completeness.

The placebo effect demonstrates that identical pharmacological conditions may produce different biological outcomes depending

solely upon cognitive context. The physical input remains unchanged. The physiological response changes dramatically.

Reductionism therefore explains how biological changes occur but not why meaning selects one biological trajectory over another.

Added grounding: predictive-processing accounts (Friston's free-energy principle) already give a mechanistic, non-mysterious answer to a version of this question inside orthodox neuroscience — priors and expectations are literally encoded as precision-weighted parameters that bias inference and, through efferent pathways, physiology. The gap this paper targets is narrower than "reductionism cannot explain meaning": it is "predictive-processing accounts explain how a prior shapes inference, not what confers causal efficacy on a prior as such." Stating the gap this precisely makes the paper's contribution easier to evaluate and harder to dismiss as attacking a straw version of reductionism.

3. The Nilpotent Universe

Peter Rowlands proposed that the fundamental quantum state is nilpotent. The complete quantum state satisfies $\Psi^2 = 0$.

Nilpotency is usually interpreted locally as the balance between particle and vacuum. The present work extends this interpretation.

Suppose the universe itself is globally nilpotent: Global Reality = 0.

Zero does not imply absence. Zero represents complete relational balance. Every localized organization necessarily possesses a complementary reorganization elsewhere. Nothing is created. Nothing disappears. Reality continuously reorganizes while preserving its global invariant.

Added grounding, for accuracy: Rowlands' nilpotent formalism (Zero to Infinity, 2007; Rowlands & Diaz's universal nilpotent computational rewrite system) is a published, self-consistent alternative derivation of the Dirac equation and quantum field theory from a single zero-totality condition, developed over three decades at the University of Liverpool. It is a real, citable research program —

but it is a heterodox one: it has not been adopted by mainstream particle physics or cosmology, and its local nilpotency condition ($\Psi^2 = 0$ for a single quantum state) has not itself been extended to a "globally nilpotent universe" by Rowlands or by mainstream physics. That extension is this paper's own step, not a result imported from the source. Naming this distinction explicitly (rather than letting Rowlands' authority carry the extension) is what turns this section from an appeal to authority into a stated, falsifiable modeling choice.

4. Dual Space

Observable spacetime represents only one projection of reality. A complementary projection exists.

Observable Space contains localization, measurable energy, causal dynamics, and temporal evolution.

Dual Space contains coherence, relational information, global constraints, and atemporal organization.

Neither projection exists independently. Observable physics describes local manifestations. Dual space describes coherent organization. Both preserve the same nilpotent invariant.

Added grounding: this structure has real precedent worth citing directly rather than only through Bohm — David Finkelstein's process-based "quantum relativity" ontology and Basil Hiley's algebraic (pre-space) formulations of the implicate order both develop explicit dual-level or process/structure distinctions inside foundational-physics discourse, and are closer technical relatives of this section's claim than the more general Bohm reference already in the paper. Citing them narrows the gap between this section and the literature it is drawing on.

5. Consciousness as an Interface

Within this framework consciousness is neither produced by the brain nor independent of it. Instead, brain activity represents the local projection, while consciousness represents the coherent projection.

The relationship resembles two coordinate descriptions of one geometric object.

Damage to the brain alters conscious experience because the interface becomes distorted. This does not require consciousness to be identical with neuronal activity.

The brain functions as a coherence transducer.

6. Intentional Coherence

Intentions are traditionally regarded as subjective psychological events. Within the dual-space framework they become coherent topological constraints.

The proposed sequence becomes: intention, modification of coherent organization, altered global constraints, modified neural dynamics, altered endocrine regulation, modified immune response, observable physiology.

Notice that energy is never transferred directly from thought to tissue. Instead, coherence constrains subsequent local organization.

This resembles the role of boundary conditions in differential equations. Boundary conditions do not inject energy. They determine which solutions become physically realized.

7. The Placebo Effect

The placebo effect follows naturally. A therapeutic context generates coherent expectation. Expectation reorganizes dual-space coherence. Neural dynamics adapt accordingly.

Known biological mechanisms—including endogenous opioids, dopamine release, inflammatory regulation, autonomic modulation, and immune signalling—become local realizations of the altered coherence state.

Thus conventional physiology remains completely valid. The dual-space hypothesis simply explains why one physiological pathway rather than another becomes dominant.

7.1 Added grounding literature

Wager and Atlas's influential review identifies a specific, reproducible network underlying placebo analgesia — ventromedial prefrontal cortex, insula, amygdala, hypothalamus, and periaqueductal gray — and frames the vmPFC as encoding situational, self-in-context representations that generate the priors placebo effects run on. Ashar, Chang and Wager's affective-appraisal account goes further, proposing that placebo effects are a special case of a general process by which appraisals of safety and meaning reshape affective and physiological state. Citing this network by name gives Section 6's abstract "modified neural dynamics, altered endocrine regulation, modified immune response" a concrete, falsifiable neural referent.

Open-label placebo research is directly relevant and currently missing from the paper. Kaptchuk and colleagues showed that placebo pills produce measurable symptom relief in irritable bowel syndrome even when patients are told, honestly, that the pills are inert — a result since extended to chronic low back pain and cancer-related fatigue. This is important evidence for the paper's thesis because it separates "belief that the treatment is pharmacologically active" from whatever residual ritual or contextual meaning is doing the work; a pure deception-based account of placebo cannot easily explain it, which is exactly the kind of anomaly the paper's coherence framework is built to house.

For balance: open-label placebo effects are real but contested in size and mechanism. Critics (e.g. Kirsch and others reanalyzing the same trials) note that most open-label placebo trials lack a genuine no-treatment-with-equal-attention arm, so part of the effect may reflect the therapeutic ritual and patient-provider contact rather than "belief-free" meaning as such. Citing this critique alongside the supportive trials, rather than only the supportive trials, is what will make Section 7 persuasive to a skeptical reader instead of just a sympathetic one.

8. Beyond Placebo

The same mechanism potentially applies to numerous phenomena.

Nocebo

Negative expectation generates destructive coherence constraints.
Observable physiology follows.

Meditation

Meditation increases global coherence while reducing local noise. The resulting physiological changes become understandable as improved coherence organization.

Hypnosis

Hypnotic suggestion temporarily reorganizes coherent constraints, producing measurable changes in perception and autonomic function.

Psychoneuroimmunology

The immune system becomes sensitive not merely to hormones but to the coherent organization underlying hormonal regulation.

Meaning

Meaning itself acquires physical significance because meaning modifies coherence. Meaning is therefore not energy. Meaning is organization.

Added grounding for the meditation claim specifically: systematic reviews of meditation and heart-rate variability report consistent, if heterogeneous, increases in vagally-mediated HRV and reductions in resting heart rate and blood pressure across intervention types. A 2025 analysis of 1.8 million HRV-biofeedback sessions found a specific, stable resonance frequency (~ 0.10 Hz) at which coherence peaks and tracked it against self-reported emotional state. These are concrete, quantitative "coherence" measures already in the literature under that name — using them explicitly here, rather than the word "coherence" alone, connects Section 8's claim to something a reviewer could go and check.

9. The Self-Knotting Strand

The topological picture introduced in The Strand That Knots Itself provides a geometric interpretation.

Reality consists of coherent strands. Increasing coherence eventually exceeds topological stability. The strand reorganizes. A knot forms. Every knot becomes a new coherent domain.

Intentions may therefore be understood as local modifications influencing the topology of the larger coherent strand.

Healing becomes one possible consequence of successful topological reorganization.

10. Scientific Predictions

A scientific theory should generate testable consequences. The dual-space hypothesis suggests several.

Prediction 1

Physiological coherence measures (EEG synchrony, heart-rate variability, immune coordination) should correlate more strongly with therapeutic outcome than isolated biochemical markers.

This prediction is already partly testable against existing data rather than only future data: HRV coherence protocols (HeartMath-style resonance-frequency breathing) have quantitative outcome literature, and meta-analyses of placebo neuroimaging (e.g. the individual-participant-data meta-analysis of placebo analgesia in Nature Communications) could be re-analyzed for whether network-level coherence measures out-predict single biochemical markers. Naming these existing datasets turns Prediction 1 from a call for new studies into a concrete reanalysis proposal, which is a stronger and cheaper first test.

Prediction 2

Meaningful expectation should produce larger biological effects than equivalent sensory stimulation lacking meaning.

Prediction 3

Collective coherence may influence measurable physiological variables more strongly than isolated intention.

This is the prediction most in need of a stated falsification threshold. Claims of measurable inter-personal or 'collective' physiological coupling beyond ordinary social and environmental cueing (shared light, sound, entrainment, synchronized breathing) are the part of this framework closest to parapsychological claims that have a poor replication record. The paper would be strengthened, not weakened, by specifying in advance what effect size and what controls (e.g. distance and sensory-shielding conditions) would count as disconfirming this prediction.

Prediction 4

Successful healing interventions should increase multi-scale coherence before structural recovery becomes clinically observable.

Prediction 5

Disorders involving chronic dysregulation may primarily represent failures of coherence rather than failures of molecular machinery.

11. Epistemic Status: Established Science versus Theoretical Extension

The argument of this paper draws on two layers of claim that differ sharply in evidential status, and separating them explicitly strengthens rather than weakens the overall case.

The first layer is established science: the existence and clinical significance of placebo and nocebo effects, the specific brain network implicated in placebo analgesia, the effect of open-label placebo administration, and the association between meditation practice, HRV coherence, and autonomic outcomes. These findings are reproducible, published in mainstream venues, and not in dispute.

The second layer is the dual-space and global-nilpotency extension itself. Rowlands' nilpotent formalism is a real, technically serious, but heterodox research program within foundational physics; it has not

been experimentally distinguished from standard quantum field theory, and its extension from a local nilpotency condition on individual quantum states to a claim about global relational balance across observable and dual space is original to this paper rather than a result carried over from the source literature. The same is true of the topological "self-knotting strand" picture.

Presenting these two layers as a single continuous argument invites a reviewer to reject the well-supported first layer along with the speculative second layer, or to accept the second layer on the borrowed authority of the first. Keeping them separate — established neuroscience as the explanandum, dual-space nilpotency as one candidate, explicitly unproven, structural metaphor for why intention might be causally efficacious — lets each be judged on its own evidence, and is the more defensible way to present the framework.

12. Discussion

The present model does not replace neuroscience. It extends it.

Neurons remain essential. Hormones remain essential. Immune regulation remains essential. These become local mechanisms implementing globally coherent organization.

The proposed framework therefore complements existing biology rather than contradicting it.

13. Conclusion

The placebo effect demonstrates that meaning influences physiology.

Current science describes the biological intermediates but lacks a comprehensive physical mechanism explaining how subjective intention becomes objectively effective. A globally nilpotent universe containing complementary projections into observable space and dual space offers one possible solution.

Within this framework, intention alters coherence, coherence constrains physiology, physiology reorganizes matter.

Mind and body therefore become complementary projections of one recursively coherent physical reality.

The placebo effect is no longer anomalous. It becomes a natural consequence of the topology of consciousness itself — understood, per Section 11, as a structural hypothesis to be tested against the established neuroscience summarized above, not as a restatement of it.

Annotated References

Benedetti, F. (2014). Placebo Effects. Oxford University Press. The most comprehensive scientific treatment of placebo and nocebo mechanisms. Establishes that expectation, conditioning, and context produce measurable physiological effects.

Wager, T. D. & Atlas, L. Y. (2015). "The neuroscience of placebo effects: connecting context, learning and health." *Nature Reviews Neuroscience* 16, 403–418. [Added] Identifies the vmPFC–insula–amygdala–hypothalamus–PAG network underlying placebo analgesia; directly grounds Section 7.

Ashar, Y. K., Chang, L. J. & Wager, T. D. (2017). "Brain mechanisms of the placebo effect: an affective appraisal account." *Annual Review of Clinical Psychology* 13, 73–98. [Added] Frames placebo response as a general appraisal process; supports the meaning-to-physiology sequence of Section 6–7.

Kaptchuk, T. J. et al. (2010). "Placebos without deception: a randomized controlled trial in irritable bowel syndrome." *PLoS ONE* 5, e15591. [Added] Open-label placebo produces symptom relief without concealment; key evidence for Section 7.

Carvalho, C., Caetano, J. M., Cunha, L., Rebouta, P., Kaptchuk, T. J. & Kirsch, I. (2016). "Open-label placebo treatment in chronic low back pain." *Pain* 157, 2766–2772. [Added] Replicates open-label placebo effect in a second condition.

Kirsch, I. (2018). "Response expectancy and placebo effect." *International Review of Neurobiology* 138, 81–91. [Added, for

balance] Argues expectancy is the operative mechanism and cautions against over-reading open-label placebo trials that lack an equal-attention control arm.

HeartMath / Kim, S. et al. (2025). "Heart rate variability biofeedback in a global study of the most common coherence frequencies and the impact of emotional states." Scientific Reports. [Added] 1.8 million-session dataset identifying a stable ~ 0.10 Hz HRV coherence frequency linked to emotional state; grounds the "coherence" language of Sections 8 and 10 in an existing quantitative measure.

Deka, B. & Deka, D. (2023). "Nonlinear analysis of heart rate variability signals in meditative state: a review and perspective." BioMedical Engineering OnLine. [Added] Reviews meditation's effects on HRV complexity and autonomic balance; supports Section 8's meditation claim.

Friston, K. (2010). "The Free-Energy Principle: A Unified Brain Theory?" Introduces predictive processing as a unifying theory of brain function. Highly relevant because it formalizes expectation as an organizing principle, although without invoking dual-space dynamics.

Finkelstein, D. (1996). Quantum Relativity. Develops a process-based ontology in which spacetime emerges from deeper algebraic structures. Provides the cosmological foundation for recursive organization.

Hiley, B. J. & Pylkkänen, P. (2005). "Can mind affect matter via active information?" Mind and Matter 3(2), 7–26. [Added] A technical development, by Bohm's principal collaborator, of the algebraic pre-space and active-information framework underlying implicate order — a closer relative of Section 4's dual-space claim than the general Bohm reference alone.

Rowlands, P. (2007). Zero to Infinity. World Scientific. Introduces nilpotent quantum mechanics. This paper generalizes Rowlands' local

nilpotency into a global conservation principle; see the qualification in Section 11 regarding the heterodox status of this generalization.

Smith, F. D. (Tony). Papers on Clifford Algebra and Finkelstein Iteration. Provides recursive algebraic constructions suggesting hierarchical self-organization across physical scales.

Bohm, D. (1980). Wholeness and the Implicate Order. Introduces the distinction between explicate and implicate order. The present dual-space model shares this relational perspective but grounds it in nilpotency and recursive topology.

Konstapel, H. (2026). The Impact of Dual Space on Our Life. Introduces the dual-space hypothesis, identifying coherence as complementary to observable spacetime.

Konstapel, H. (2026). The Strand That Knots Itself. Develops a topological model in which coherent structures evolve through recursive self-knotting. This paper interprets intentional coherence as a special case of that universal process.

Konstapel, H. (2026). The Principle of Dual Projection (forthcoming). Proposes that every nilpotent recursive process possesses complementary local and global projections, providing the conceptual framework that unifies reductionism, holism, consciousness, and physiology.